



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KENNETH O. McELRATH YUEMEI YANG KENNETH A. SMITH

Serial No.: 10/719,693

Filed: November 21, 2003

Commissioner for Patents

Alexandria, VA 22313-1450

P. O. Box 1450

For: CARBON NANOTUBE PARTICULATE

ELECTRON EMITTERS

Group Art Unit: 2879

Examiner: Unknown

Attorney Docket: 3006.002000/KDG

CUSTOMER NO. 23720

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date below.

22313-1430, on the date belo

March 4, 2004

vnde Meinhart

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent document and journal articles required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, a copy of the listed U.S. patent is not included.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/3006.002000KDG.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C. CUSTOMER NO. 23720

March 4, 2004

Kenneth D. Goodman

Reg. No. 30,460

10333 Richmond, Suite 1100

Houston, Texas 77042

(713) 934-4094

(713) 934-7011 (fax)

ATTORNEY FOR APPLICANTS

rm PTO-1449 (modified)

ist of Patents and Publications for Applicant's

Atty. Docket No. 3006.002000/KDG

Serial No. 10/719,693

Applicants

Kenneth O. McElrath/Yuemei Yang/Kenneth A. Smith

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date: November 21, 2003

Group: 2879

U.S. Patent Documents See Page 1

Foreign Patent Documents See Page 1

Other Art See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,333,016	12/25/2001	Resasco et al.	423	447.3	09/03/1999
	A2						
	A3						-

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	Bl	WO03/037792	05/08/2003	PCT			
	B2		_				
	B3						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation					
	C1	Tang et al., Chemical Physics Letters 350:19-26 (2001)					
	C2	Flahaut et al., J. Mater. Chem. 10:249-252 (2000)					
	C3	Qingwen et al., J. Mater. Chem. 12:1179-1183 (2002)					
	C4	Su et al., Chemical Physics Letters 322:321-326 (2000)					
	C5	Willems et al., Applied Catalysis A: General 229:229-233 (2002)					
	C6	Bacsa et al., Chemical Physics Letters 323:566-571 (2000)					
	C7	Colomer et al., Chemical Physics Letters 317:83-89 (2000)					
	C8	Bacsa et al., J. Am. Ceram. Soc. 85:2666-69 (2002)					
	C9	Bonard et al., Ultramicroscopy 73:7-15 (1998)					
,	C10	"Carbon Nanotubes for Static Dissipation," <i>Plastics Additives & Compounding</i> , Vol. 3, Issue 9 (September 2001)					

EXA	MI	NER:
LAA	TATE	ILK.

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Firm PTO-1449 (modified)

st of Patents and Publications for Applicant's

Atty. Docket No. 3006.002000/KDG

Serial No. 10/719,693

Applicants

Kenneth O. McElrath/Yuemei Yang/Kenneth A. Smith

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date: November 21, 2003 Group: 2879

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,333,016	12/25/2001	Resasco et al.	423	447.3	09/03/1999
	A2						
	A3						-

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	Bl	WO03/037792	05/08/2003	PCT			
	B2						
	В3						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation					
	Cl	Tang et al., Chemical Physics Letters 350:19-26 (2001)					
	C2	Flahaut et al., J. Mater. Chem. 10:249-252 (2000)					
	C3	Qingwen et al., J. Mater. Chem. 12:1179-1183 (2002)					
	C4	Su et al., Chemical Physics Letters 322:321-326 (2000)					
	C5	Willems et al., Applied Catalysis A: General 229:229-233 (2002)					
	C6	Bacsa et al., Chemical Physics Letters 323:566-571 (2000)					
	C7	Colomer et al., Chemical Physics Letters 317:83-89 (2000)					
	C8	Bacsa et al., J. Am. Ceram. Soc. 85:2666-69 (2002)					
	C9	Bonard et al., Ultramicroscopy 73:7-15 (1998)					
	C10	"Carbon Nanotubes for Static Dissipation," <i>Plastics Additives & Compounding</i> , Vol. 3, Issue 9 (September 2001)					

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.